

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
2 May 2002 (02.05.2002)

PCT

(10) International Publication Number  
**WO 02/35003 A1**

- (51) International Patent Classification<sup>7</sup>: D21H 17/72, 17/16
- (21) International Application Number: PCT/US00/29271
- (22) International Filing Date: 24 October 2000 (24.10.2000)
- (25) Filing Language: English
- (26) Publication Language: English
- (71) Applicant: GEORGIA-PACIFIC RESINS, INC. [US/US]; 133 Peachtree Street, N.E., Atlanta, GA 30303-1847 (US).
- (72) Inventors: FARLEY, Charles, E.; 3150 Spring Lake Dr., Conveyers, GA 30013 (US). ANDERSON, George; Georgia-Pacific Resins, 133 Peachtree Street, N.E., Atlanta, GA 30303-1847 (US). FAVORS, Karla, D.; 3323 Cedar Island Dr. SW, Atlanta, GA 30311 (US).
- (74) Agents: RIVARD, Paul, M. et al.; Banner & Witcoff, Ltd., 11th floor, 1001 G Street, N.W., Washington, DC 20001-4597 (US).
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW.
- (84) Designated States (regional): ARIPo patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published:

- with international search report
- with amended claims and statement

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

WO 02/35003 A1

(54) Title: EMULSIFICATION OF ALKENYL SUCCINIC ANHYDRIDE SIZE

(57) Abstract: Alkenyl succinic anhydride (ASA) size emulsions are prepared using a grafted cationic acrylamide starch co-polymer as an emulsification agent. The ASA size emulsions of the present invention exhibit significantly greater stability compared to presently available ASA size emulsions. The grafted starch co-polymer also is a more efficient emulsification agent for ASA size, permitting the use of less emulsification agent, thereby saving cost.

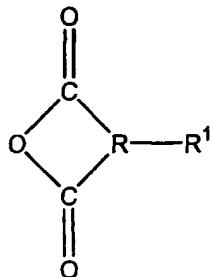
## EMULSIFICATION OF ALKENYL SUCCINIC ANHYDRIDE SIZE

### Field of the Invention

The present invention is directed to a process of emulsifying alkenyl succinic anhydride (ASA) size and to an ASA size emulsion.

### 5 Description of Related Art

Alkenyl succinic anhydrides (ASA) are useful in the sizing of cellulosic materials. As described in U.S. Patent 4,606,773 to Novak, ASA sizes generally are represented by the formula



wherein R represents a dimethylene or trimethylene radical and R<sup>1</sup> is a hydrophobic group which may be selected from alkyl, alkenyl, aralkyl, and aralkenyl. Because ASA sizes of this structure are not water soluble, it generally is necessary to emulsify the ASA size to provide a composition which permits adequate contact with cellulosic fibers. ASA size emulsions typically are made with anionic or cationic starches, cationic polymers such as diallyldimethyl ammonium chloride polymers, polyamides, etc., or blends of starches and synthetic cationic polymers.

One major drawback associated with presently available ASA size emulsions is their relative instability. Separation or breakage normally occurs within as little as 4-6 hours after the emulsion is made. The precipitate undesirably can form deposits on pumps and in piping and other equipment.

U.S. Patent 4,606,773 to Novak describes emulsifying ASA size using a physical blend of a cationic co-polymer, such as acrylamide-dimethylaminoethylacrylate, acrylamide-diethylaminoethyl acrylate, acrylamide-dimethylaminoethylmethacrylate, or acrylamide-diallyldimethyl ammonium chloride, and a cationic starch made from corn starch or potato starch. The blend is said to provide increased stability to the emulsion over the use of either the co-

polymer or the starch alone.

It would be desirable to develop a method of emulsifying ASA size that provides an emulsion having increased stability compared to presently available emulsions. It also would be desirable to develop a more cost-effective method of emulsifying ASA size.

5      **Summary of the Invention**

It has been found that alkenyl succinic anhydride (ASA) size emulsions made using a starch grafted cationic acrylamide co-polymer as an emulsification agent exhibit greater stability compared to presently available ASA size emulsions. An ASA size emulsion prepared in accordance with a preferred embodiment of the invention exhibits more than 24 hours of 10 stability, even up to 7 days or more of stability. It also has been found that the starch grafted co-polymer is a more efficient emulsification agent for ASA size. As a result, less emulsification agent is needed to emulsify ASA size, thereby saving cost.

In accordance with one aspect of the invention, a process of emulsifying an alkenyl succinic anhydride (ASA) size comprises blending an alkenyl succinic anhydride and a starch grafted cationic acrylamide co-polymer under conditions sufficient to form an emulsion. The 15 ASA size optionally contains a surfactant such as sodium dioctyl sulfosuccinate.

The emulsified ASA size made in accordance with the present invention can be used in the manufacture of paper, paperboard, and the like in accordance with methods well known to those skilled in the art.

20      **Detailed Description of the Invention**

The present invention comprises an emulsion formed from three main components, (1) water forming a continuous aqueous phase, (2) the ASA occupying the discontinuous phase, and (3) the emulsification agent, which in the practice of the present invention comprises a starch grafted cationic acrylamide co-polymer. The emulsion of this invention can be used in the same 25 applications which have previously used ASA size emulsions.

A wide variety of ASA size can be used in the practice of the present invention and the invention is not to be limited in the nature of the ASA size. The ASA size most often contains from about 14 to about 22 carbon atoms, more usually from about 16 to about 18 carbon atoms.

Blends of ASA materials also are useful. Such ASA sizes are commercially available and/or can be readily prepared by those skilled in the art. Examples of ASA size are described in U.S. Patents 3,102,064, 4,040,900, 3,968,005, and 3,821,069, the disclosures of which are incorporated by reference herein. A preferred ASA size is NOVASIZE ASA®, available from Georgia-Pacific Paper Chemicals, which essentially is a blend of C<sub>16</sub>-C<sub>18</sub> ASA with a minor portion (~0.1 wt%) of C<sub>14</sub> ASA.

The ASA size preferably is mixed with a surfactant prior to forming the emulsion. The use of a surfactant significantly reduces energy requirements for preparing the emulsion. The surfactant should be oil-soluble or ASA-soluble and can be non-ionic, anionic, or cationic. Preferably, the surfactant is anionic. Given these criteria, the selection of a suitable surfactant for a particular ASA size will be apparent to those skilled in the art with the aid of no more than routine experimentation. A preferred surfactant is sodium dioctyl sulfosuccinate. The surfactant typically is added in an amount of about 0.1 to about 5 parts, more typically from about 0.5 to about 1.5 parts, per 100 parts by weight of the ASA size.

The emulsification agent comprises a starch grafted cationic acrylamide co-polymer. The starch grafted co-polymer can be prepared by the graft polymerization of an acrylamide monomer onto a starch substrate or backbone. Various free radical polymerization methods can be used for grafting acrylamide monomers to starch, e.g., radiation activated free radical polymerization, redox based free radical polymerization and mechanical fission. One method for carrying out graft polymerization involves preparing an aqueous solution of the starch and acrylamide monomers, adding thereto a water-soluble free radical catalyst, and then carrying out the polymerization at a suitable reaction temperature. Typical free radical catalysts which may be used include hydrogen peroxide, solution soluble organic peroxides and hydroperoxides, persulfates, and ceric ion. An activator, which is typically a mild reducing agent, can also be added along with the catalyst. One known activator is sodium formaldehyde sulfoxalate.

Starches which can be used include various plant carbohydrates, such as corn starch, rice starch, potato starch, and the like, or derivatives thereof. Examples of starch derivatives, often called modified starches, include oxidized starches, hydroxyalkylated starches, carboxyalkylated starches, various solubilized starches, enzyme-modified starches, etc. Many starch derivatives are cationic and/or amphoteric. Generally, any starch can be used from which the acrylamide

monomers can be polymerized. A preferred starch is hydroxyethylated corn starch.

The acrylamide monomer may be acrylamide, acrylamide derivatives, or a combination thereof. Non-limiting examples of suitable acrylamide derivatives include N-t-butyl acrylamide, N-methylolethylene acrylamide, and methacrylamide. Acrylic acid also can be used in small quantities.

5 In order to prepare the grafted co-polymer of starch and acrylamide, acrylamide monomer is mixed with the starch. The amount of acrylamide monomer relative to the starch can vary over a wide range, for example from about 1:9 to about 9:1 by weight. As a result, the grafted starch co-polymer most often will contain from about 10 to about 90 wt% of the starch component, more usually from 25 to about 75 wt%; and most often will contain from about 10 to about 90%  
10 of the acrylamide monomer, more usually from about 25 to about 75 wt%, and even more usually from about 40 to about 60 wt%, based on the total weight of starch and acrylamide.

15 The acrylamide monomer is polymerized in the presence of a cationic monomer to provide the grafted starch co-polymer with cationic charges. Examples of suitable cationic monomers include diallylamine and its respective salts, N-alkyl diallylamine and its respective salts, diallyldialkyl ammonium quaternary salts, N,N-dialkylaminoalkyl acrylate and methacrylate and their respective salts, N,N-dialkylaminoalkyl acrylamide and methacrylamide and their respective salts, and Ar-vinylbenzyldialkylamine and its respective salts. A preferred cationic monomer is diallyldimethyl ammonium chloride (DADMAC).

20 The amount of cationic monomer used in making the co-polymer can vary over a wide range and should be at least a minimum amount which improves performance of the emulsification agent. The amount of cationic monomer should not be more than a maximum amount above which emulsion particle size is adversely affected. Within these broad parameters, however, the particular amount of cationic monomer used is not critical to emulsion stability. Determining an appropriate amount for any specific combination of starch, ASA, and cationic monomer is well within the skill of the art using routine experimentation. In a preferred embodiment, the amount of cationic monomer ranges from about 0.033 to about 0.15 mole per  
25 mole of the acrylamide monomer.

30 The starch grafted cationic co-polymer can be prepared by reacting the acrylamide monomer, cationic monomer, and starch in an aqueous medium, in the presence of the water-soluble free-radical catalyst. The grafted starch co-polymers typically are prepared by heating

the solution of starch, acrylamide monomer, and cationic monomer to about 75 to 95°C until the starch is gelatinized, and then adding the free-radical catalyst. The reaction then is maintained at a suitable temperature for polymerization, usually at about 90°C. Alternatively, the starch may be pregelatinized and the reaction mixture thereafter formed. While not wanting to be bound by theory, it is believed that a free radical is generated on the starch backbone, at which site the acrylamide monomer and the cationic monomer are grafted and polymerize. At the time of the grafting and polymerization, the starch essentially is in a gelatinized (solubilized) form. Typically, the reaction is carried out until all the monomer is consumed. The grafting and polymerization should be conducted in substantially neutral to acidic conditions. Preferably, the reaction is run at a pH of about 4 to about 5.

Other components also may be blended with the emulsification agent prior to or at the time of forming the ASA size emulsion, such as surfactants, dispersants, and the like. Such other components, of course, should be compatible with the cationic co-polymer. Whether a particular component is compatible with the cationic co-polymer will be apparent to those skilled in the art with the aid of no more than routine experimentation.

An ASA size emulsion then can be prepared, for example, by combining the starch grafted co-polymer with de-ionized water, followed by blending with the ASA (optionally containing a surfactant). The amount of water used should be at least a minimum quantity which permits emulsification of the ASA particles (droplets). The ASA size emulsion typically is prepared using a high-shear mixer. However, there is no criticality in the ASA particle (droplet) size; even an emulsion having an ASA particle size of 3-5 microns is stable. No particular upper limit on the amount of water is contemplated; the emulsion can be made as dilute as desired or needed for particular processing applications. Preferably, the emulsion is prepared using from about 25 to about 40 wt% ASA, based on total weight, and thereafter is diluted, as necessary, to meet particular metering requirements.

The amount of starch grafted co-polymer used in preparing the emulsion can vary over a wide range. The amount should be at least a minimum quantity which helps promote the emulsification of the ASA size. The weight ratio of the grafted co-polymer to ASA typically will range from about 0.01:1 to about 1:1, more typically from about 0.02:1 to about 0.2:1, and even more typically from about 0.03:1 to about 0.15:1, by weight on a dry basis. For purposes of

emulsifying the ASA size, a preferred weight ratio of co-polymer to ASA is 0.04:1 to 0.1:1. For many applications, it may be advantageous to use higher amounts of the co-polymer to impart various properties to intermediate or end products. There is no particular maximum amount of co-polymer contemplated; the practical limiting factor is cost.

## 5

## EXAMPLES

The following Examples are provided for illustrative purposes only and are not intended to limit the scope of the invention.

### Example 1

This Example illustrates the preparation of a starch grafted cationic acrylamide co-polymer solution having 15 wt% solids, at a 1:1 weight ratio (starch to acrylamide). The starch used was Penford Gum 280, a hydroxyethylated cornstarch commercially available from Penford Products.

A starch grafted cationic acrylamide co-polymer was prepared by charging into a reaction vessel at room temperature with agitation: 612 g of deionized water, 120 g of acrylamide (supplied as a 50 wt% aqueous solution), 15 g of diallyldimethyl ammonium chloride (supplied as a 63 wt% aqueous solution, available from Ciba Specialties), and 70 g of hydroxyethylated corn starch. The pH of the reaction mass was adjusted to 4.0 using dilute sulfuric acid. The reaction mass was de-aerated by sparging with nitrogen gas for 1 hour.

A free radical catalyst was prepared by combining 1.4 g of potassium persulfate and 52 g of water to form a ~3 wt% aqueous solution of potassium persulfate. The catalyst solution was divided into four equal portions of about 13.4 g each. A second catalyst solution to be used as the final catalyst charge was prepared by combining 1.4 g of potassium persulfate and 52 g of water.

Following de-aeration, the reaction mass was heated to 75°C. One portion (13.4 g) of the potassium persulfate solution was added and the reaction mass exothermed to about 90°C. Two of the remaining three portions (13.4 g each) of the potassium persulfate solution were added on 30 minute intervals, with the temperature of the reaction mass held at 90°C for each initiated charge. After the third portion was added, the reaction mass was held for one hour, after

which the final catalyst charge was added. Following addition of the final catalyst charge, the reaction mass was held for one hour at 90°C, and thereafter was post-treated with 0.0013 wt% of glyoxal for cross-linking.

**Example 2**

5        This Example illustrates the preparation of ASA size emulsions using the starch grafted cationic acrylamide co-polymer of Example 1 as an emulsification agent at a weight ratio of 0.06:1 (emulsifier to ASA on a dry basis). NOVASIZE ASA® (available from Georgia-Pacific Paper Chemicals) containing 0.9 wt% sodium dioctyl sulfosuccinate surfactant was used.

10      Twenty-four grams (24 g) of the starch grafted cationic acrylamide co-polymer solution of Example 1 (15% solids by weight) and 316 g of deionized water were added to a blender (16 speed Osterizer) and stirred at medium speed. Sixty grams (60 g) of ASA containing 0.9 wt% sodium dioctyl sulfosuccinate surfactant were added and the mixture was blended at high speed for four minutes. The resulting 15 wt% ASA emulsion was fluid and milk-white. A portion was diluted to 2 wt% ASA. Both the 15 wt% ASA size and the 2 wt% ASA size emulsions showed no evidence of breakage, separation, or ASA particle clustering after 7 days storage at room temperature. Samples also were diluted to 1.5 wt% ASA and particle size was determined immediately and after 24 hours.

15      Using a similar procedure, ASA size emulsions also were prepared at a weight ratio of 0.2:1 (emulsifier to ASA on a dry basis).

20      **Example 3**

This Example illustrates the preparation of handsheets using the ASA size emulsions of Example 2.

25      Handsheets were made with either old newsprint, or old corrugated container (OCC). A Turbulent Pulse Sheet Former (TPSF, available from Paper Research Materials) was used to prepare the sheets. Stock (slushed in tap water) and water were treated with 200 ppm sulfate ion and 150 ppm alkalinity, respectively. Ground calcium carbonate (GCC) at 1.5 wt% on fiber, was added to the stock to provide a stable pH of about 7.4. Using white water recirculation, two sheets were made and discarded and two more were then made for testing.

The ASA size emulsions were diluted with deionized water to 0.04 wt% ASA for addition to handsheet portions of the papermaking stock, with stirring maintained for 60 seconds before making the sheet. Sheets were conditioned overnight and tested using the Hercules Sizing Test (HST) (TAPPI) with 1% formic acid ink. All emulsions gave typical sizing results.

5      **Comparative Example 1**

This Example illustrates preparing ASA size emulsions using physical blends of starch and cationic acrylamide co-polymer. Penford Gum 280 was used as the starch. The starch was solubilized by two different procedures. In the first procedure, "PG1," a 15 wt% slurry of Penford Gum 280 was reacted for 3 hours at 75-90°C with potassium persulfate (c.f. Example 10 1). The resultant solution is heterogeneous with the top a yellow transparent liquid and the bottom a white opaque liquid or powder. In the second procedure, "PG2," Penford Gum 280 was solubilized using a standard starch cook procedure where a 15 wt% solids slurry was heated at 90°C for 30 minutes.

Emulsions were prepared at 15 wt% ASA solids at 0.2:1 and 0.06:1 weight ratios of 15 emulsifier to ASA (on a dry weight basis). The emulsions then were diluted to 5 wt% and 0.5 wt% ASA solids. These diluted samples then were divided in two. One half of the samples were held at room temperature for 72 hours and the other one-half were held for one hour at 150°F (65.6°C). Particle size was measured immediately after the emulsions were prepared and again after one hour at 150°F (65.6°C).

For comparison, emulsions also were prepared using Nalco 7541, a commercially 20 available cationic acrylamide co-polymer, as an emulsifier. The emulsions were prepared at 15 wt% ASA solids at 0.2:1 and 0.06:1 weight ratios of emulsifier to ASA (on a dry weight basis).

Table 1 summarizes emulsifier composition for the physical blends, for Nalco 7541, and for the grafted co-polymers (Example 1), and also particle size of the emulsions. Table 2 25 summarizes the appearance of the emulsions at 15 wt%, 5 wt%, and 0.5 wt% ASA solids, respectively, each after one hour at 150°F (65.6°C), after 72 hours following the 150° F (65.6°C) treatment, and after 72 hours at room temperature.

**Table 1: Emulsion Stability**

Emulsion No.	Emulsifier: ASA ratio (by wt on dry basis)	Polymer	Starch Component	Particle Size Immediate (microns) mean/median	Particle Size after 1 hour at 150°F (65.6°C) (microns) mean/median	Change in Particle Size (microns) mean/median
5	1	0.2:1	Blend	PG1	2.176/1.661	2.390/1.829
	2	0.2:1	Blend	PG2	1.950/1.577	2.322/1.845
	3	0.2:1	Grafted		1.061/0.959	1.473/1.206
	4	0.2:1	Nalco	---	1.231/1.096	1.511/1.367
	5	0.06:1	Blend	PG1	4.490/2.259	5.919/4.669
	6	0.06:1	Grafted		1.463/1.214	1.442/1.276
	7	0.06:1	Nalco	---	1.926/1.631	4.769/2.308
10						2.843/0.677

**Table 2: Emulsion Appearance after 150°F (65.6°C)  
Treatment and 72 Hours Equilibration**

Emulsion No.	ASA (wt%)	1 hour @ 150°F (65.6°C)	72 hours after 150°F (65.6°C) Treatment	72 Hours at Room Temperature
15	1	15	NE	4 layers; yellow oil, white hydrolyzate, yellow water, white ppt.
	1	5	No change	Oil on top, ppt on bottom
	1	0.5	No change	Oil on top, hydrolyzed and settled
	2	15	NE	White ppt throughout, water on top
	2	5	Slight oil ring on top	Completely hydrolyzed
	2	0.5	Slight white ppt ring on top	White ppt on bottom
	3	15	NE	Oil on top, ppt on bottom
20				White cream throughout

	Emulsion No.	ASA (wt%)	1 hour @ 150°F (65.6°C)	72 hours after 150°F (65.6°C) Treatment	72 Hours at Room Temperature
5	3	5	Slight white ppt ring on top	White ppt throughout	Slight ppt throughout
	3	0.5	Slight white ppt on top	White ppt on bottom	Slight ppt
	4	15	NE	NE	Slight oil on top; milky throughout
	4	5	No change	White ring on top	Slight oil on top
	4	0.5	No change	Slight white ppt on bottom	White ppt on bottom
	5	15	NE	NE	3 layers; thick yellow oil, thin white ppt, gray water
	5	5	Oil on top	Split; oil on top	Lots of oil on top
	5	0.5	No change	Hydrolyzed; white ppt on top	Complete hydrolysis; ppt on top
	6	15	NE	NE	2 layers; white cream top (90%), gray water (10%)
	6	5	No change	Slight white ppt	White ppt throughout
10	6	0.5	Slight white ppt	Slight white ppt throughout	Slight ppt
	7	15	NE	NE	Complete split into oil and water
	7	5	Medium white ring	Split; oil on top	Oil on top
	7	0.5	Slight oil ring on top	Some oil on top; slight white ppt throughout	Oil on top; slight ppt

15 NE = not evaluated

ppt = precipitate

With the exception of the starch grafted acrylamide (emulsion nos. 3 and 6), emulsions made at a 0.2:1 weight ratio (emulsifier to ASA) formed more stable emulsions than did

emulsions made at the lower (0.06:1) weight ratio. Emulsion no. 6 (made using the grafted co-polymer of the present invention) had the lowest original particle size, and maintained particle size through the accelerated aging treatment. Emulsion no. 6 was found to be the best emulsion after accelerated aging, while emulsion no. 3 was found to be the best when kept at room temperature. Emulsions nos. 2 and 5 (which used physical blends of a cationic polyacrylamide and starch) had the worst appearance under ambient conditions as well as under accelerated aging.

### Comparative Example 2

Emulsifiers were prepared with physical blends of cationic acrylamide co-polymer (Nalco 10 7541) and starch. The starches used were Stalok 600 ("S600"), a pregelatinized cationic potato starch, and Stalok 400 ("S400"), a cationic potato starch. Both starches are commercially available from A. E. Staley. The Stalok 600 starch was mixed for 4 hours at room temperature. The Stalok 400 starch was cooked for 30 minutes at 90°C.

ASA size emulsions were prepared at a ratio of 0.2:1 (emulsifier to ASA by weight on a dry basis) at 15 wt% solids diluted to 1 wt%, and then diluted to 0.05 wt%. Particle size was measured of the 1 wt% emulsion immediately and then 24 hour later. Table 3 summarizes emulsion stability for the emulsions so-prepared. Table 4 summarizes emulsion particle size initially and after 24 hours at room temperature.

**Table 3 - Emulsion Stability for Blends (Change in Particle Size After 24 Hours)**

20	Polymer	Starch Component	Change in Median Particle Size (microns)	Change in Mean Particle Size (microns)
	Nalco 7541	S600	0.17	0.24
	Nalco 7541	S400	0.65	1.66

**Table 4 - Emulsion Particle Size for Blends Initially and After 24 Hours**

Polymer	Starch	Particle Size, Immediate (microns)	Particle Size After 24 hrs (microns)	Appearance 24 hr	Appearance 48 hr	
Nalco 7541	S600	1.148 median 1.295 mean	1.314 median 1.532 mean	No change	White ppt forming	
5	Nalco 7541	S400	1.065 median 1.162 mean	1.713 median 2.817 mean	No change	White ppt forming

The principles, preferred embodiments and modes of operation of the present invention have been described in the foregoing specification. The invention which is intended to be protected herein, however, is not to be construed as limited to the particular forms disclosed, 10 since they are to be regarded as illustrative rather than restrictive. Variations and changes may be made by those skilled in the art without departing from the spirit of the invention.

**WHAT IS CLAIMED IS:**

1. A process of emulsifying alkenyl succinic anhydride size comprising blending an alkenyl succinic anhydride and a starch grafted cationic acrylamide co-polymer under conditions sufficient to form an emulsion.
2. The process of claim 1 wherein said alkenyl succinic anhydride is mixed with a surfactant prior to forming said emulsion.
3. The process of claim 2 wherein said surfactant is sodium dioctyl sulfosuccinate.
4. The process of claim 1 wherein said starch grafted cationic acrylamide co-polymer comprises from about 10 to about 90 wt% of a starch monomer and from about 10 to about 90 wt% of an acrylamide monomer, based on the total weight of starch and acrylamide.
5. The process of claim 4 wherein said starch grafted cationic acrylamide co-polymer comprises from about 25 to about 75 wt% of said starch monomer and from about 25 to about 75 wt% of said acrylamide monomer, based on the total weight of starch and acrylamide.
6. The process of claim 1 wherein said alkenyl succinic anhydride and said starch grafted cationic acrylamide co-polymer are blended at a weight ratio of alkenyl succinic anhydride to starch grafted cationic acrylamide of from about 0.01:1 to about 1:1.
7. The process of claim 6 wherein said weight ratio is from about 0.02:1 to about 0.2:1.
8. An emulsified alkenyl succinic anhydride size produced by the process of claim 1.
9. A paperboard prepared using the emulsified alkenyl succinic anhydride size of claim 8.
10. Paper prepared using the emulsified alkenyl succinic anhydride size of claim 8.

**AMENDED CLAIMS**

[received by the International Bureau on 03 May 03.05.01);  
original claims 1, 4 and 6 amended, new claims 11 added;  
remaining claims unchanged (1 page)]

1. A process of emulsifying alkenyl succinic anhydride size comprising blending an alkenyl succinic anhydride and a grafted cationic co-polymer under conditions sufficient to form an emulsion, wherein said grafted cationic co-polymer comprises a reaction product of starch, acrylamide, and a diallyldialkyl ammonium halide.
2. The process of claim 1 wherein said alkenyl succinic anhydride is mixed with a surfactant prior to forming said emulsion.
3. The process of claim 2 wherein said surfactant is sodium dioctyl sulfosuccinate.
4. The process of claim 1 wherein said grafted cationic co-polymer comprises from about 10 to about 90 wt% of a starch monomer and from about 10 to about 90 wt% of an acrylamide monomer, based on the total weight of starch and acrylamide.
5. The process of claim 4 wherein said grafted cationic co-polymer comprises from about 25 to about 75 wt% of said starch monomer and from about 25 to about 75 wt% of said acrylamide monomer, based on the total weight of starch and acrylamide.
6. The process of claim 1 wherein said alkenyl succinic anhydride and said grafted cationic co-polymer are blended at a weight ratio of alkenyl succinic anhydride to starch grafted cationic acrylamide of from about 0.01:1 to about 1:1.
7. The process of claim 6 wherein said weight ratio is from about 0.02:1 to about 0.2:1.
8. An emulsified alkenyl succinic anhydride size produced by the process of claim 1.
9. A paperboard prepared using the emulsified alkenyl succinic anhydride size of claim 8.
10. Paper prepared using the emulsified alkenyl succinic anhydride size of claim 8.
11. The process of claim 1 wherein said diallyldialkyl ammonium halide is diallydimethyl ammonium chloride.

**STATEMENT UNDER ARTICLE 19 (1)**

In response to the International Search Report mailed on 06 March 2001, and pursuant to Article 19(1) and Rule 46.1, Applicant hereby amends the claims of the above-identified international patent application. This Amendment includes a substitute sheet providing amended claims and a new claim.

Applicant respectfully requests that substitute sheet 13 (containing amended claims 1 and 4-6, new claim 11, and originally filed claims 2, 3, and 7-10) be substituted for the previously filed sheet 13 and that the new claims be considered by the Authority in preparing the Written Opinion. Applicant believes all claims possess the unity of invention as required by PCT Articles 17(3) and 34(3). Applicant files the following statement in connection with the Amendment.

The following briefly summarizes the changes to the claims. Claim 1 has been amended to recite that the grafted cationic co-polymer comprises a reaction product of starch, acrylamide, and a diallyldialkyl ammonium halide. New claim 11 recites that the diallyldialkyl ammonium halide is diallyldimethyl ammonium chloride. Support for the amendments is found in the specification, *inter alia*, at page 4, lines 12-18. No new matter is added.

Applicant has amended claims 1 and 4-6, added new claim 11, and preserved claims 2, 3, and 7-10 as originally filed. A favorable indication in the First Written Opinion regarding the pending claims is respectfully requested.

## INTERNATIONAL SEARCH REPORT

Int'l Application No  
PCT/US 00/29271A. CLASSIFICATION OF SUBJECT MATTER  
IPC 7 D21H17/72 D21H17/16

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 D21H

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

WPI Data, EPO-Internal, PAJ

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	DATABASE WPI Section Ch, Week 198851 Derwent Publications Ltd., London, GB; Class A97, AN 1988-365300 XP002161062 & JP 63 275795 A (HOKUETSU SEISHI KK), 14 November 1988 (1988-11-14) abstract ---	1,8-10
X	DATABASE WPI Section Ch, Week 200103 Derwent Publications Ltd., London, GB; Class A14, AN 2001-019277 XP002161063 & JP 2000 265389 A (MISAWA CERAMICS KK), 26 September 2000 (2000-09-26) abstract ---	1,8-10
Y	---	2-7 -/-

 Further documents are listed in the continuation of box C. Patent family members are listed in annex.

## \* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

\*&\* document member of the same patent family

Date of the actual completion of the international search

Date of mailing of the international search report

27 February 2001

06/03/2001

Name and mailing address of the ISA  
European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl  
Fax: (+31-70) 340-3016

Authorized officer

Naeslund, P

## INTERNATIONAL SEARCH REPORT

Int'l Application No  
PCT/US 00/29271

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 4 604 163 A (VAN EENAM DONALD N) 5 August 1986 (1986-08-05) examples A-C ---	1-10
Y	WO 00 43423 A (KIMBERLY CLARK CO) 27 July 2000 (2000-07-27) page 7, paragraph 4 -page 8, paragraph 4 ---	1-10
A	EP 0 984 101 A (KEMIRA CHEMICALS OY) 8 March 2000 (2000-03-08) page 6, line 51 - line 54 page 6, line 4 - line 9; claims 1,2,6,10,12 ---	2
A	US 4 606 773 A (NOVAK ROBERT W) 19 August 1986 (1986-08-19) cited in the application abstract; examples 2,3,6-8 ---	1-10
A	US 4 040 900 A (MAZZARELLA EMIL D ET AL) 9 August 1977 (1977-08-09) cited in the application the whole document ---	1-10
A	US 3 102 064 A (WURZBURG OTTO B) 27 August 1963 (1963-08-27) cited in the application the whole document ---	1-10
A	DATABASE WPI Section Ch, Week 198725 Derwent Publications Ltd., London, GB; Class A18, AN 1987-173966 XP002161064 & JP 62 104998 A (DICK-HERCULES KK), 15 May 1987 (1987-05-15) abstract -----	

## INTERNATIONAL SEARCH REPORT

Information on patent family members

Int'l application No

PCT/US00/29271

Patent document cited in search report		Publication date		Patent family member(s)		Publication date
JP 63275795	A	14-11-1988	JP	2525602 B		21-08-1996
JP 2000265389	A	26-09-2000		NONE		
US 4604163	A	05-08-1986	US	4575528 A		11-03-1986
			AU	2895284 A		06-12-1984
			BR	8402681 A		07-05-1985
			DK	271784 A		03-12-1984
			EP	0130961 A		09-01-1985
			FI	842213 A		03-12-1984
			NO	842204 A		03-12-1984
			PT	78674 A, B		01-01-1985
WO 0043423	A	27-07-2000	AU	2856600 A		07-08-2000
EP 0984101	A	08-03-2000	FI	981893 A		05-03-2000
US 4606773	A	19-08-1986	CA	1247810 A		03-01-1989
US 4040900	A	09-08-1977	US	RE29960 E		10-04-1979
			AR	204448 A		06-02-1976
			AU	8120075 A		18-11-1976
			BR	7503115 A		27-04-1976
			CA	1043511 A		05-12-1978
			DE	2522306 A		04-12-1975
			FI	751456 A, B,		21-11-1975
			FR	2272221 A		19-12-1975
			GB	1492104 A		16-11-1977
			IT	1035774 B		20-10-1979
			JP	959923 C		28-06-1979
			JP	50160505 A		25-12-1975
			JP	53036044 B		30-09-1978
			SE	417533 B		23-03-1981
			SE	7505737 A		21-11-1975
US 3102064	A	27-08-1963	DE	1289405 B		
			GB	957136 A		
			NL	129371 C		
			NL	282997 A		
JP 62104998	A	15-05-1987	JP	2087524 C		02-09-1996
			JP	7018117 B		01-03-1995